#### Edgar Filing: KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. - Form 4

KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC.

Form 4

November 18, 2013

| <b>FORM</b>   | 1                                 |   |   |  |  |              |          |   | OMB AF   | PROVAL  |  |  |
|---|-----------------------------------|---|---|--|--|--------------|----------|---|--|---|--|--|
|   | V V                               |   |   |  | O EXCH<br>C. 2054                              |              | GE C     | OMMISSION   | OMB<br>Number:   | 3235-0287   |  |  |
| Check this being no longer subject to Section 16. Form 4 or Form 5 obligations may continuate See Instruction 1(b). | STATE Filed pu                    | arsuant to<br>7(a) of the   | Section 16(a  | ECURIT  a) of the S  ty Holding          | <b>IES</b> ecurities g Compa                   | Exc<br>any A | hange    | Act of 1934,<br>1935 or Section   | Expires: Estimated a burden hour response  |   |  |  |
| (Print or Type Res  | ponses)                           |   |   |  |  |              |          |   |  |   |  |  |
| 1. Name and Address of Reporting Person * Sun Life Assurance Co of Canada (U.S.)                                    |                                   |   | Symbol<br>KAYNE A   | KAYNE ANDERSON<br>MIDSTREAM/ENERGY FUND, |  |              |          |   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director 10% Owner |   |  |  |
| (Last) ONE SUN LII PARK, SC 23:   |                                   | 3. Date of Ea<br>(Month/Day/  | 3. Date of Earliest Transaction (Month/Day/Year) 08/02/2013 |  |  |              |          | Officer (give title X Other (specify below) Former 10% owner                                      |  |   |  |  |
|   | (Street) 4. If Amend Filed(Month) |   |   |  |  |              |          | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |   |  |  |
| WELLESLEY   | HILLS, MA                         | 02481   |   |  |  |              |          | Form filed by M<br>Person   | ore than One Re  | porting   |  |  |
| (City)  | (State)                           | (Zip)   | Table I   | - Non-Deri                               | vative Sec                                     | uritie       | s Acqu   | ired, Disposed of,  | or Beneficiall   | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction<br>(Month/Day/Y    | Year) Execution | Execution Date, if  | 3.<br>Transaction<br>Code<br>(Instr. 8)  | ransactionAcquired (A) or Code Disposed of (D) |              |          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)    | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)                                     | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Series A<br>Mandatory<br>Redeemable   | 08/02/2013                        |   |   | Code V                                   | Amount 0                                       | (D)          | Price    | (Instr. 3 and 4)<br>40,000  | D (1)  |   |  |  |
| Preferred<br>Shares   | 30. 02, 2013                      |   |   | v  |  |              | 4.0      | ,   | _  |   |  |  |
| Reminder: Report  | on a separate lii                 | ne for each   | class of securiti   | es beneficia                             | lly owned                                      | direct       | ly or in | directly.   |  |   |  |  |

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(9-02)

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number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e             |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3             |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |         |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | m: .1   | or       |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title   | Number   |             |        |
|             |             |                     |                    | ~          |            |               |             |         | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         | Shares   |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sun Life Assurance Co of Canada (U.S.) ONE SUN LIFE EXECUTIVE PARK, SC 2335 WELLESLEY HILLS, MA 02481

Former 10% owner

### **Signatures**

/s/Maura A. Murphy 11/18/2013

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of stock of Sun Life Assurance Company of Canada (U.S.) ("SLUS"), were sold on 8/2/13 to Delaware Life Holdings, LLC, and SLUS ceased to be an indirect subsidiary of Sun Life Financial, Inc. ("SLF"). SLUS had been a 10% owner only by virtue of the combined holdings of subsidiaries of SLF. Its holdings no longer exceed the 10% threshold.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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