

SPECTOR MICHAEL J
Form 4
May 09, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SPECTOR MICHAEL J

2. Issuer Name **and** Ticker or Trading
Symbol
ASSISTED LIVING CONCEPTS
INC [ALC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

2114 E. KENSINGTON BLVD

(Street)

SHOREWOOD, WI 53211

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
05/07/2008

4. If Amendment, Date Original
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|--|--|--|---|
| | | | Code | V Amount (D) Price | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Am Underlying Sec (Instr. 3 and 4) |
|---------------------------------------|------------------------------|---|---|------------------------|--|--|---|
|---------------------------------------|------------------------------|---|---|------------------------|--|--|---|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | A on N of |
|---|------------------------------------|------------------|------------|--|------|---|--------|-----|---------------------------|--------------------|----------------------------|--------------------|
| Tandem Stock Option/SARs (right to buy) (1) | \$ 6.42 | 05/07/2008 | | | A | | 20,000 | | 05/05/2009 ⁽²⁾ | 05/05/2013 | Class A Common Stock | 2 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|----------------------------------|
| | Director 10% Owner Officer Other |
| SPECTOR MICHAEL J 2114 E. KENSINGTON BLVD SHOREWOOD, WI 53211 | X |

Signatures

/s/ Michael
Spector 05/09/2008

 **Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Awards of tandem non-qualified stock options and stock appreciation rights for shares of the Issuer's Class A Common Stock
- (1) ("Options/SAR's") granted on May 5, 2008. The exercise price is the closing price of the Issuer's Class A Common Stock on the New York Stock Exchange on May 7, 2008, the second business day following the Issuer's public release of quarterly financial results.
- (2) The Options/SARs vest in three equal annual installments beginning on May 5, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 2,800 A \$ 1.94 242,000 D Common Stock, no par value08/13/2013 GV 242,000 D \$ 0 0 D Common Stock, no par value08/13/2013 GV 242,000 A \$ 0 242,000 I MTRB LAVIN LIVING TRUST

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|---|--|---|---|--------------------------------------|--|--|--------------------|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Option (right to buy) | \$ 0.77 | 08/13/2013 | | M | 48,000 | <u>(1)</u> | 05/13/2019 | Common Stock | 48,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 10,000 | <u>(1)</u> | 04/26/2014 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 10,000 | <u>(1)</u> | 04/29/2015 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 10,000 | <u>(1)</u> | 12/30/2015 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 20,000 | <u>(1)</u> | 10/25/2016 | Common Stock | 20,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 5,000 | <u>(1)</u> | 02/27/2017 | Common Stock | 5,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 10,000 | <u>(1)</u> | 07/30/2017 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.5 | 08/13/2013 | | M | 10,000 | <u>(1)</u> | 01/30/2018 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.15 | 08/13/2013 | | M | 24,000 | <u>(1)</u> | 05/06/2019 | Common Stock | 24,000 |

Explanation of Responses:

| | | | | | | | | |
|--------------------------------------|---------|------------|---|--------|------------|------------|-----------------|--------|
| Stock Option (right to buy) | \$ 1.81 | 08/13/2013 | M | 15,000 | <u>(1)</u> | 04/27/2020 | Common Stock | 15,000 |
| Stock Option (right to buy) | \$ 1.03 | 08/13/2013 | M | 20,000 | <u>(1)</u> | 06/23/2021 | Common Stock | 20,000 |
| Stock Option (right to buy) | \$ 1.95 | 08/13/2013 | M | 40,000 | <u>(1)</u> | 11/23/2021 | Common Stock | 40,000 |
| Stock Option (right to buy) | \$ 0.95 | 08/13/2013 | M | 10,000 | <u>(1)</u> | 11/23/2021 | Common Stock | 10,000 |
| Stock Option (right to buy) | \$ 1.2 | 08/13/2013 | M | 7,200 | <u>(1)</u> | 04/03/2022 | Common Stock | 7,200 |
| Stock Option (right to buy) | \$ 1.94 | 08/13/2013 | M | 2,800 | <u>(1)</u> | 07/16/2022 | Common Stock | 2,800 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Lavin Michael T. 19500 JAMBOREE ROAD IRVINE, CA 92612 | | | Sr. Vice President | |

Signatures

/s/ Michael T.
Lavin 08/16/2013

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On various dates.

(2) Issued in consideration of the named person's services to the issuer.

(3)

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Issued in consideration of (i) the named person's services to the issuer and (ii) surrender to the issuer of the options described in Table II of the named person's report on Form 4 filed December 14, 2009.

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